# Correction **Correction: Multiclass classification of microarray data with repeated measurements: application to cancer** Ka Yee Yeung and Roger E. Bumgarner

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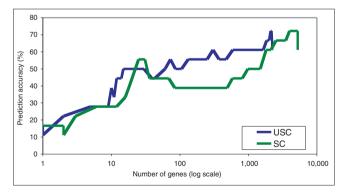
After the publication of this work [1], we discovered programming errors in our software implementation of the proposed error-weighted, uncorrelated shrunken centroid (EWUSC) algorithm and the uncorrelated shrunken centroid (USC) algorithm. We have corrected these errors, and the updated results are summarized in the revised Table 6.

On the NCI 60 data, both Figure 1 in [1] and the revised Figure 1 showed that USC generally produces higher prediction accuracy than the 'shrunken centroid' algorithm (SC) [2] using the same number of relevant genes. Using the revised software implementation, USC requires fewer (2,116 instead of 2,315 as reported in [1]) genes to achieve 72% accuracy. The number of genes required by SC to achieve the same prediction accuracy remains the same (3,998).

Figure 2 shows the results of applying EWUSC to the training set, four-fold cross-validation data, and test set of the multiple tumor data over a range of shrinkage thresholds ( $\Delta$ ) and correlation thresholds ( $\rho_0$ ). The revised Figure 2 shows the same general trend as Figure 2 in [1]: the percentage of errors is reduced when  $\rho_0 < 1$  over most values of  $\Delta$  on the training set, cross-validation data and test set; Figure 2d shows that the number of relevant genes is drastically reduced when genes with correlation threshold above 0.9 are removed. The values of the optimal shrinkage thresholds  $(\Delta)$ determined from the cross-validation results have changed using the revised implementation. Specifically, the optimal shrinkage threshold values ( $\Delta$ ) for both EWUSC and USC are reduced to 4.8 and 4 respectively (see revised Table 6). The numbers of relevant genes selected by EWUSC and USC are reduced and the resulting prediction accuracy for both USC and SC are also reduced in the revised results. In the case of using the global optimal parameters when  $\Delta = 0$ , the EWUSC in the revised implementation selected slightly fewer genes (1,622 instead of 1,626) at the expense of slightly lower prediction accuracy (74% instead of 78%). Figure 4

compares the prediction accuracy on the test set of the multiple tumor data using the EWUSC and USC algorithms at the estimated optimal correlation threshold ( $\rho_0 = 0.8$ ), the SC algorithm and the Support Vector Machine (SVM). The general observations previously reported in [1] still hold with the revised Figure 4. First, USC produces higher prediction accuracy than SC using the same number of relevant genes. Second, EWUSC generally produces higher prediction accuracy than USC using the same number of relevant genes. In fact, the performance of EWUSC is stronger than previously reported in [1] when the number of genes is small.

Figure 5 shows the comparison of prediction accuracy of EWUSC, USC, and SC on the breast cancer data. With the



#### Figure I

A corrected figure showing the comparison of prediction accuracy of USC and SC on the NCI 60 data. The percentage of prediction accuracy is plotted against the number of relevant genes using the USC algorithm at  $\rho_0$  = 0.6 and the SC algorithm (USC at  $\rho_0$  = 1.0). The horizontal axis is shown on a log scale. Because no independent test set is available for this data, we randomly divided the samples in each class into roughly three parts multiple times, such that a third of the samples are reserved as a test set. Thus the training set consists of 43 samples and the test set of 18 samples. The graph represents typical results over these multiple random runs.

#### Table 6

## Summary of prediction accuracy results

Data	Parameters	EWUSC	USC	SC	Published results
NCI 60 data <sup>*</sup>	ρ <sub>0</sub>	NA	0.6	1.0	NA
	Δ	NA	0.6	0.9	NA
	# relevant genes	NA	2,116 (2315)	3,998	200
	Prediction accuracy	NA	72%	72%	~ 40-60% [4]
Multiple tumor data (estimated optimal parameters) <sup>†</sup>	ρ <sub>0</sub>	0.8	0.8	1.0	NA
	Δ	4.8 (5.6)	4 (5.6)	8.8	NA
	# relevant genes	241 (680)	356 (735)	3902	All genes
	Prediction accuracy	93%	<u>82%</u> (85%)	<u>63%</u> (78%)	78% [5]
Multiple tumor data (global optimal parameters) <sup>‡</sup>	ρ <sub>0</sub>	0.9	0.9	1.0	NA
	Δ	0	0	0.4	NA
	# relevant genes	1,622 (1626)	1634	7129	All genes
	Prediction accuracy	<u>74%</u> (78%)	74%	<u>59%</u> (74%)	78% [5]
Breast cancer data	ρ <sub>0</sub>	0.6 (0.7)	0.6	1.0	NA
	Δ	0.80	0.55 (1.15)	0.5 (1.1)	NA
	# relevant genes	189 (271)	<u>1,114</u> (82)	<u>3,193</u> (187)	70
	Prediction accuracy	<u>84%</u> (89%)	84% (79%)	84%	89% [6]

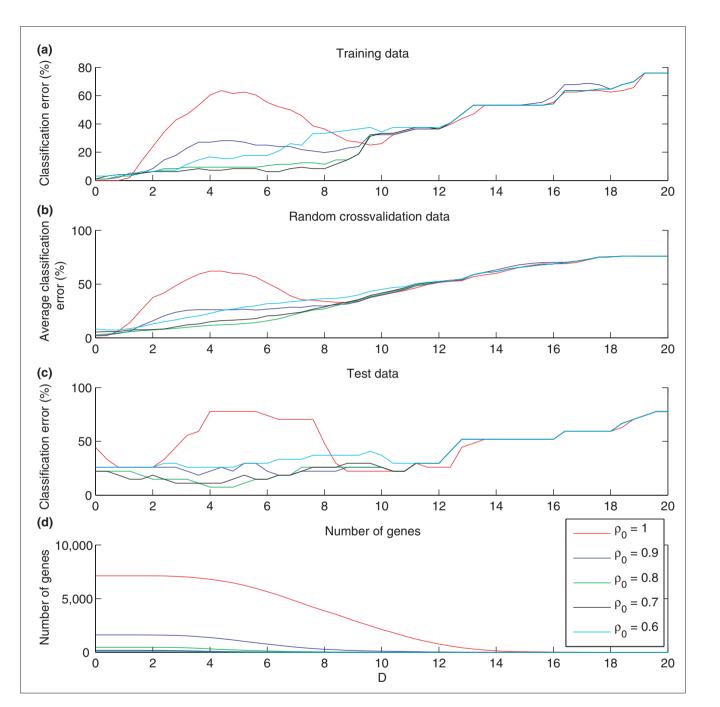
Results different from those previously reported are highlighted in bold. Previous results are in brackets. Results improved over previously reported are highlighted in italic, while results worse than previously reported are underlined. The optimal parameters ( $\rho_0$  and  $\Delta$ ), number of relevant genes chosen, and prediction accuracy for the NCI 60 data, multiple tumor data and breast cancer data are summarized here. Both EWUSC (error-weighted, uncorrelated shrunken centroid) and USC (uncorrelated shrunken centroid) were motivated by SC (shrunken centroid) [2]. Both EWUSC and USC take advantage of interdependence between genes by removing highly correlated relevant genes. EWUSC makes use of error estimates or variability over repeated measurements. SC [2] is equivalent to USC at  $\rho_0 = 1$ . The optimal parameters ( $\Delta$ ,  $\rho_0$ ) for EWUSC are estimated from the cross-validation results of EWUSC, while the optimal parameters ( $\Delta$ ,  $\rho_0$ ) for USC are independently estimated from the cross-validation results of actual results of random parameters ( $\Delta$ ,  $\rho_0$ ) for the NCI 60 data. In addition, there is no separate test set available for the NCI 60 data, typical results of random parameters ( $\Delta$ ,  $\rho_0$ ) estimated by visual observation of 'bends' in the random cross-validation curves. <sup>‡</sup>The prediction accuracy and number of relevant genes are produced using global optimal parameters, that is ( $\Delta$ ,  $\rho_0$ ) that produces the minimum average numbers of cross-validation errors over all  $\Delta$  and all  $\rho_0$ .

revised implementation, the optimal correlation threshold  $(\rho_0)$  is changed from 0.7 in [1] to 0.6 (see revised Table 6). The observation reported in [1] that EWUSC produces higher prediction accuracy on the test set than USC and SC when the number of relevant genes is small still holds. The numbers of relevant genes selected by USC and SC are significantly larger with the revised implementation (see revised Table 6).

The major conclusions and observations in the original manuscript [1] remain valid with the revised implementation. Our EWUSC and USC algorithms represent improvements over the SC algorithm. In general, fewer genes are required to produce comparable prediction accuracy. On the multiple tumor data, our EWUSC and USC algorithms produce higher prediction accuracy using fewer relevant genes compared to published results. The revised software implementation is available on our web site [3]. Note: the revised version (1.0) of the software was placed on the web site on May 9, 2005.

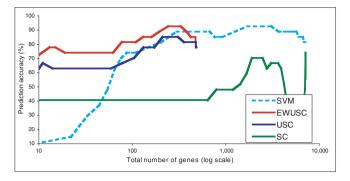
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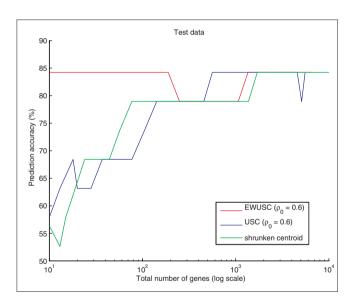
# Figure 2

A corrected figure showing the prediction accuracy on the multiple tumor data using the EWUSC algorithm over the range of  $\Delta$  from 0 to 20. The percentage of classification errors is plotted against  $\Delta$  on (a) the full training set (96 samples) and (c) the test set (27 samples). In (b) the average percentage of errors is plotted against  $\Delta$  on the cross-validation data over five random runs of fourfold cross-validation. In (d), the number of relevant genes is plotted against  $\Delta$ . Different colors are used to specify different correlation thresholds ( $\rho_0 = 0.6, 0.7, 0.8, 0.9$  or 1). Optimal parameters are inferred from the cross-validation data in (b).



# Figure 4

A corrected figure showing the comparison of prediction accuracy of EWUSC ( $\rho_0 = 0.8$ ), USC ( $\rho_0 = 0.8$ ), SVM and SC algorithms on the multiple tumor data. The horizontal axis shows the total number of distinct genes selected over all binary SVM classifiers on a log scale. Some results are not available on the full range of the total number of genes. For example, the maximum numbers of selected genes for EWUSC and USC are roughly 1,000. The reported prediction accuracy is 78% [5] using all 16,000 available genes on the full data. The EWUSC algorithm achieves 85% prediction accuracy with only 77 genes. With 241 genes, EWUSC produces 93% prediction accuracy.



### Figure 5

A corrected figure showing the comparison of prediction accuracy of EWUSC, USC and SC on the breast cancer data. The percentage of prediction accuracy is plotted against the number of relevant genes using the EWUSC algorithm at  $\rho_0$  = 0.6, the USC algorithm at  $\rho_0$  = 0.6 and the SC algorithm (USC at  $\rho_0$  = 1.0). Note that the horizontal axis is shown on a log scale.